JC10 1300 1 FEB 2002

MERCE PATENT AND TRADEMARK OFFICE U.S. DEPARTMENT FORM PTO -1390 (REV. 12-2001) TRANSMITTAL LETTER TO THE UNITED STATES 205,510 U.S. APPLICATION NO. (If known, see 37 CFR 1.5 DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371 **1**0/049*3***1**/ INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED INTERNATIONAL APPLICATION NO. 09 August 1999 (9.08.99) 09 August 2000 (9.08.00) PCT/AU00/00948 TITLE OF INVENTION APPLICANT(S) FOR DO/EO/US Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. X This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. X This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected by the expiration of 19 months from the priority date (Article 31). 5. X A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. b. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). b. Amendments to the claims of the International Aplication under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. b. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)). 9. \overline{X} An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English lanugage translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 11.[] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 12. X A FIRST preliminary amendment. 13. A SECOND or SUBSEQUENT preliminary amendment. 14. A substitute specification. 15. A change of power of attorney and/or address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825. A second copy of the published international application under 35 U.S.C. 154(d)(4). 18. A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 19. Other items or information: 20. X (1) International Application published under PCT; (2) International Search Report; (3) Request to examine claims 1-28 as originally filed in PCT application.

JC13 Rec'd PCT/PTO 0 8 FEB 2002 ATTORNEY'S DOCKET NUMBER U.S. APPLICATION NO.41 INTERNATIONAL APPLICATION NO. T/AU00/00948 205,510 CALCULATIONS PTO USE ONLY 21.X The following fees are submitted: BASIC NATIONAL FEE (37 CFR 1.492 (a) (1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO . . \$1040.00 International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the ÉPO or JPO International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$710.00 International preliminary examination fee (37 CFR 1.482) paid to USPTO ENTER APPROPRIATE BASIC FEE AMOUNT 1.040.00 Surcharge of \$130.00 for furnishing the oath or declaration later than 20 \$ months from the earliest claimed priority date (37 CFR 1.492(e)). \$ **RATE** NUMBER FILED NUMBER EXTRA **CLAIMS** \$18.00 \$ 144.00 Total claims 28 -20 =8 0 \$ 0.00 Independent claims 3 - 3 = \$84.00 \$ 0.00 \$280.00 MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$ 1,184.00 TOTAL OF ABOVE CALCULATIONS Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above \$ are reduced by 1/2. 0.00 1,184.00 \$ **SUBTOTAL** Processing fee of \$130.00 for furnishing the English translation later than \$ months from the earliest claimed priority date (37 CFR 1.492(f)). TOTAL NATIONAL FEE \$ Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property \$ 40.00 1,224.0 \$ TOTAL FEES ENCLOSED =Amount to be refunded: charged: a. \overline{X} A check in the amount of \$ $\frac{1,224.00}{}$ to cover the above fees is enclosed. in the amount of \$ _____ to cover the above fees. Please charge my Deposit Account No. _ A duplicate copy of this sheet is enclosed. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 01-0035 A duplicate copy of this sheet is enclosed. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive A37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status. SEND ALL CORRESPONDENCE TO:_ Thomas E. Spath "EXPRESS MAIL" Label No.: ET537596488US ABELMAN FRAYNE & S Date of Deposit: February 8, 2002 This correspondence is being Thomas E. Spath 150 East 42nd Street Deposited with the United States New York, NY 10017 NAME Postal Service "Express Mail Post Office to Addressee" service under 25,928 37 CFR § 1.10 on the date indicated REGISTRATION NUMBER above and addressed to:

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Commissioner of Patents and Trademarks, U.S. Patent and Trademark Office. Washington, D.C. 20231.

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February 8, 2002

Assistant Commissioner for Patents Washington, D.C. 22202

Re:

National Phase Filing

PCT/AU00/00948

Request to Examine Original Claims

AFS: 205,510 (PCT)

Sir:

Applicant requests that the claims 1-28 as originally filed in the PCT application be examined. Applicant requests that the set of amended claims submitted April 27, 2001 during Chapter II proceeding be given no consideration at this time by the U.S. PTO and that the amended claim set be effectively canceled.

Respectfully submitted

Thomas E. Spath